



# Taxonomies and ontologies: Organising knowledge about core components



Human Behaviour-  
Change Project

**Susan Michie**

Professor of Health Psychology

Director of the Centre for Behaviour Change

University College London



Session: Defining, Identifying, and Testing Components



@susanmichie  
@UCLBehaveChange

[www.ucl.ac.uk/behaviour-change](http://www.ucl.ac.uk/behaviour-change)

# Taxonomies and ontologies enable us ...

To develop an understanding of human behaviour  
to answer variants of the 'big question'



# Taxonomies and ontologies enable us ...

To develop an understanding of human behaviour  
to answer variants of the ‘big question’

## *When it comes to behaviour change interventions:*

What works,  
compared with what,  
for what behaviours,  
how well, for how long,  
with whom, in what setting,  
and why?



# What works: behaviour change techniques



Human Behaviour-  
Change Project

- Aim to be the smallest components that on their own can bring about change
- Have the *potential* to be the ‘active ingredients’ of an intervention
- Observable and replicable
- Can be used alone or in combination

ann. behav. med. (2013) 46:81–95  
DOI 10.1007/s12160-013-9486-6

ORIGINAL ARTICLE

>2000  
citations

## **The Behavior Change Technique Taxonomy (v1) of 93 Hierarchically Clustered Techniques: Building an International Consensus for the Reporting of Behavior Change Interventions**

Susan Michie, DPhil, CPsychol • Michelle Richardson, PhD • Marie Johnston, PhD,  
CPsychol • Charles Abraham, DPhil, CPsychol • Jill Francis, PhD, CPsychol •  
Wendy Hardeman, PhD • Martin P. Eccles, MD • James Cane, PhD •  
Caroline E. Wood, PhD

Published online: 20 March 2013  
© The Society of Behavioral Medicine 2013

# 93 Behaviour Change Techniques: BCTTv1



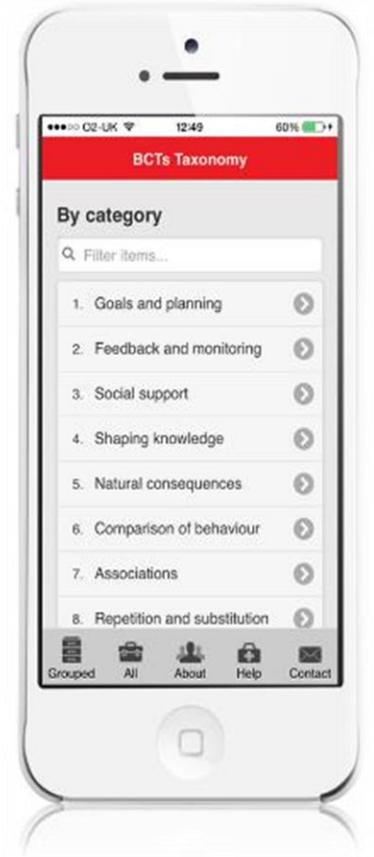
**Online Training:**  
[www.bct-taxonomy.com](http://www.bct-taxonomy.com)

# 93 Behaviour Change Techniques: BCTTv1



**Online Training:**  
[www.bct-taxonomy.com](http://www.bct-taxonomy.com)

**BCTTv1 App:**  
Search for 'BCT'

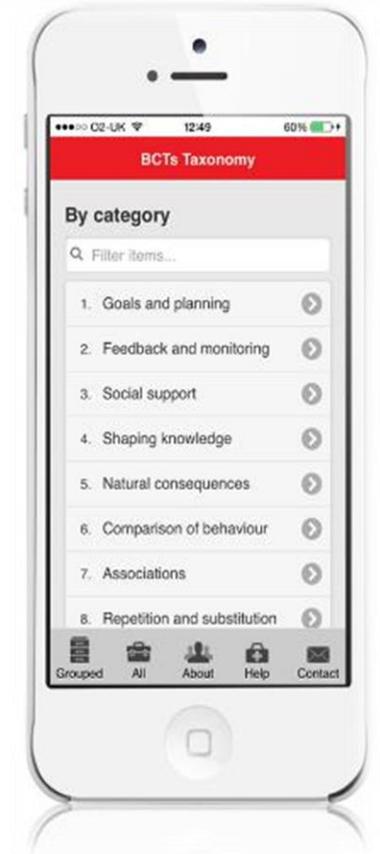


# 93 Behaviour Change Techniques: BCTTv1



**Online Training:**  
[www.bct-taxonomy.com](http://www.bct-taxonomy.com)

**BCTTv1 App:**  
Search for 'BCT'



**Database of BCTTv1-coded interventions**  
[www.bct-taxonomy.com/interventions](http://www.bct-taxonomy.com/interventions)

# Why a taxonomy?

- A classification system to organise things using principles that explain similarities and differences
- Classes are uniquely assigned to a higher level class
  - E.g. Goals and planning

## Goal-setting

10 sub-routines for smoking e.g. setting clear date

(Lorenatto, 2015)

# BCT Taxonomy v1: 93 items in 16 groupings



Human Behaviour-  
Change Project

# BCT Taxonomy v1: 93 items in 16 groupings



Human Behaviour-  
Change Project

Page	Grouping and BCTs	Page	Grouping and BCTs	Page	Grouping and BCTs
<b>1</b>	<b>1. Goals and planning</b>	<b>8</b>	<b>6. Comparison of behaviour</b>	<b>16</b>	<b>12. Antecedents</b>
	1.1. Goal setting (behavior) 1.2. Problem solving 1.3. Goal setting (outcome) 1.4. Action planning 1.5. Review behavior goal(s) 1.6. Discrepancy between current behavior and goal 1.7. Review outcome goal(s) 1.8. Behavioral contract 1.9. Commitment		6.1. Demonstration of the behavior 6.2. Social comparison 6.3. Information about others' approval		12.1. Restructuring the physical environment 12.2. Restructuring the social environment 12.3. Avoidance/reducing exposure to cues for the behavior 12.4. Distraction 12.5. Adding objects to the environment 12.6. Body changes
		<b>9</b>	<b>7. Associations</b>		
			7.1. Prompts/cues 7.2. Cue signalling reward 7.3. Reduce prompts/cues 7.4. Remove access to the reward 7.5. Remove aversive stimulus	<b>17</b>	<b>13. Identity</b>
<b>3</b>	<b>2. Feedback and monitoring</b>				13.1. Identification of self as role
	2.1. Monitoring of behavior				

# BCT Taxonomy v1: 93 items in 16 groupings



Page	Grouping and BCTs	Page	Grouping and BCTs	Page	Grouping and BCTs
<b>1</b>	<b>1. Goals and planning</b>	<b>8</b>	<b>6. Comparison of behaviour</b>	<b>16</b>	<b>12. Antecedents</b>
	1.1. Goal setting (behavior) 1.2. Problem solving 1.3. Goal setting (outcome) 1.4. Action planning 1.5. Review behavior goal(s) 1.6. Discrepancy between current behavior and goal 1.7. Review outcome goal(s)		6.1. Demonstration of the behavior 6.2. Social comparison 6.3. Information about others' approval		12.1. Restructuring the physical environment 12.2. Restructuring the social environment 12.3. Avoidance/reducing exposure to cues for the behavior 12.4. Distraction 12.5. Adding objects to the
		<b>9</b>	<b>7. Associations</b>		
			7.1. Prompts/cues		

No.	Label	Definition	Examples
<b>1. Goals and planning</b>			
<b>1.1</b>	<b><i>Goal setting (behavior)</i></b>	Set or agree on a goal defined in terms of the behavior to be achieved <i>Note: only code goal-setting if there is sufficient evidence that goal set as part of intervention; if goal unspecified or a behavioral outcome, code <b>1.3, Goal setting (outcome)</b>; if the goal defines a specific context, frequency, duration or intensity for the behavior, <u>also</u> code <b>1.4, Action planning</b></i>	Agree on a daily walking goal (e.g. 3 miles) with the person and reach agreement about the goal  Set the goal of eating 5 pieces of fruit per day as specified in public health guidelines



# Further components: HB CP identifies ...

## 1. The intervention

- *Content (behaviour change techniques)*
- **Delivery** (source, schedule, style, mode)

## 2. Exposure to the intervention (engagement and reach)

## 3. Mechanisms of action

## 4. The context

- Population, setting

# Designing interventions: components linked to tools



# Designing interventions: components linked to tools

- Select BCTs according to ‘behavioural diagnosis’
  - *COM-B model*
  - *Behaviour Change Wheel*
    - [www.behaviourchangewheel.com](http://www.behaviourchangewheel.com)



# Designing interventions: components linked to tools

- Select BCTs according to ‘behavioural diagnosis’
  - *COM-B model*
  - *Behaviour Change Wheel*
    - [www.behaviourchangewheel.com](http://www.behaviourchangewheel.com)
- Link BCTs to theory
  - *Theory and Techniques Tool*
    - <https://theoryandtechniquetool.humanbehaviourchange.org/>



# Theory and Techniques Tool home screen



Login



Theory and Technique Tool

Home The Tool About the Tool How to use Tool

*i* Select one cell you're interested in or make your own custom heat map by selecting '+' on the columns and rows of interest

		MoAs																				
		+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
		Kn	Sk	SPRI	BaCa	Op	BaCo	Re	In	Go	MADP	ECR	SI	Em	BR	No	SN	Attb	Mo	Si	Ne	
+	1.1. Goal setting (behaviour)	3																				
+	1.2. Problem solving																					
+	1.3. Goal setting (outcome)																					
+	1.4. Action planning																					
+	1.5. Review behaviour goal(s)																					
+	1.6. Discrepancy between current behaviour ...																					
+	1.7. Review outcome goal(s)																					
+	1.8. Behavioural contract																					
+	1.9. Commitment																					
+	2.1. Monitoring of behaviour by others witho...																					
+	2.2. Feedback on behaviour																					
+	2.3. Self-monitoring of behaviour																					

Show Next

# Theory and Techniques Tool home screen



Theory and  
Technique Tool

Login

[Home](#)

[The Tool](#)

[About the Tool](#)

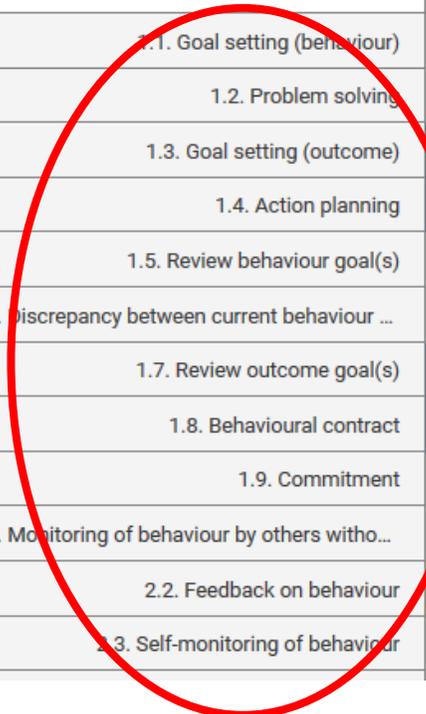
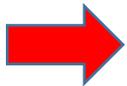
[How to use Tool](#)



Select one cell you're interested in or make your own custom heat map by selecting '+' on the columns and rows of interest

		MoAs																			
		+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+
		Kn	Sk	SPRI	BaCa	Op	BaCo	Re	In	Go	MADP	ECR	SI	Em	BR	No	SN	Attb	Mo	Si	Ne
+	1.1. Goal setting (behaviour)	3																			
+	1.2. Problem solving																				
+	1.3. Goal setting (outcome)																				
+	1.4. Action planning																				
+	1.5. Review behaviour goal(s)																				
+	1.6. Discrepancy between current behaviour ...																				
+	1.7. Review outcome goal(s)																				
+	1.8. Behavioural contract																				
+	1.9. Commitment																				
+	2.1. Monitoring of behaviour by others witho...																				
+	2.2. Feedback on behaviour																				
+	2.3. Self-monitoring of behaviour																				

BCTs



Show  
Next



# Theory and Techniques Tool home screen



Theory and Technique Tool

Home The Tool About the Tool How to use Tool

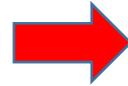
Login

Select one cell you're interested in or make your own custom heat map by selecting on the columns and rows of interest

Mechanisms of Action



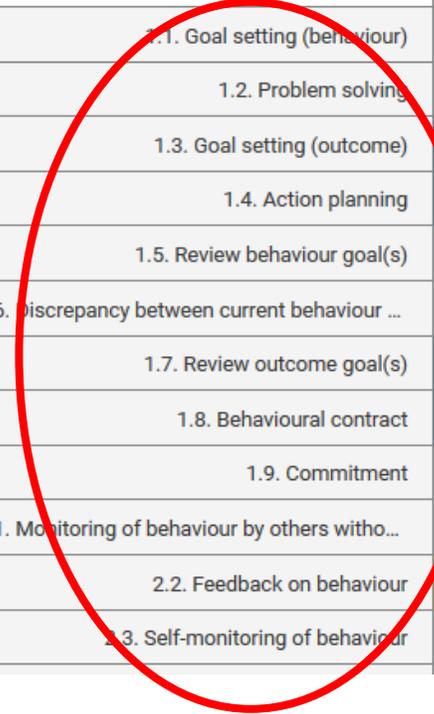
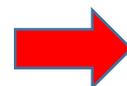
Outcome for each cell



		MoAs																			
		+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+		
		Kn	Sk	SPRI	BaCa	Sp	BeCo	Re	In	Go	MADP	ECR	SI	Em	BB	No	SN	Attb	Mo	Si	Ne

+	1.1. Goal setting (behaviour)	3																			
+	1.2. Problem solving																				
+	1.3. Goal setting (outcome)																				
+	1.4. Action planning																				
+	1.5. Review behaviour goal(s)																				
+	1.6. Discrepancy between current behaviour ...																				
+	1.7. Review outcome goal(s)																				
+	1.8. Behavioural contract																				
+	1.9. Commitment																				
+	2.1. Monitoring of behaviour by others witho...																				
+	2.2. Feedback on behaviour																				
+	2.3. Self-monitoring of behaviour																				

BCTs



Show Next



# Automating knowledge accumulation

1. Components allow one to define interventions and their context in a way that is machine readable



# Automating knowledge accumulation

1. Components allow one to define interventions and their context in a way that is machine readable
2. Enables extraction and synthesis of information from world literature that not possible by hand

# Automating knowledge accumulation

1. Components allow one to define interventions and their context in a way that is machine readable
2. Enables extraction and synthesis of information from world literature that not possible by hand
3. Computation can generate
  - new evidence and insights based on up-to-date research findings, and
  - inferences from what we know to what we don't

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project

Participating  
organisations



**IBM  
Research**



[www.humanbehaviourchange.org](http://www.humanbehaviourchange.org)

 @HBCProject

A Collaborative  
Award funded  
by the

**welcometrust**

 [osf.io/efp4x/](https://osf.io/efp4x/)

<sup>1</sup>UCL <sup>2</sup>IBM Research Dublin

<sup>3</sup>Aberdeen University

<sup>4</sup>Cambridge University

# Funding: The Wellcome Trust



Human Behaviour-  
Change Project

	Behavioural science	Computer science	System architecture
<i>Grant-holders</i>	Susan Michie <sup>1</sup> Marie Johnston <sup>3</sup> Robert West <sup>1</sup> Mike Kelly <sup>4</sup>	John Shawe-Taylor <sup>1</sup> Pol MacAonghusa <sup>2</sup>	James Thomas <sup>1</sup>
<i>Researchers</i>	Alison Wright <sup>1</sup> Emma Norris <sup>1</sup> Ailbhe Finnerty <sup>1</sup> Candice Moore <sup>1</sup> Silje Zink <sup>1</sup> Emily Hayes <sup>1</sup>	Francesca Bonin <sup>2</sup> Debasis Ganguly <sup>2</sup> Yufang Hou <sup>2</sup> Charles Jochim <sup>2</sup> Martin Gleize <sup>2</sup> Alessandra Pascale <sup>2</sup>	Alison O'Mara-Eves <sup>1</sup> Gillian Stokes <sup>1</sup> Patrick O'Driscoll <sup>1</sup>

*Consultants:* Janna Hastings, Julian Everett, Bryan Vernon

*Collaborator:* Marta Marques

*PhD Students:* Paulina Schenk<sup>1</sup>, Eva Jermutus<sup>1</sup>, Anneliese Arno<sup>1</sup>, Gaurav Singh<sup>1</sup>, Tobias Baumann<sup>1</sup>

# Vision of the project

To develop an understanding of human behaviour  
to answer variants of the 'big question'



# Vision of the project

To develop an understanding of human behaviour to answer variants of the ‘big question’

***When it comes to behaviour change interventions:***

What works,  
compared with what,  
for what behaviours,  
how well, for how long,  
with whom, in what setting,  
and why?



# The Human Behaviour-Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:



Human Behaviour-  
Change Project

# The Human Behaviour-Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:

1. An ontology of BCIs and evaluation reports



Human Behaviour-  
Change Project

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:

1. An ontology of BCIs and evaluation reports
2. A largely automated feature extraction system to read BCI evaluation reports

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:

1. An ontology of BCIs and evaluation reports
2. A largely automated feature extraction system to read BCI evaluation reports
3. A BCI database containing information from evaluation reports structured according to the ontology

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:

1. An ontology of BCIs and evaluation reports
2. A largely automated feature extraction system to read BCI evaluation reports
3. A BCI database containing information from evaluation reports structured according to the ontology
4. Reasoning and machine learning algorithms to synthesise this information in response to user queries

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project

Will create and evaluate a Behaviour Change Intervention (BCI) Knowledge System:

1. An ontology of BCIs and evaluation reports
2. A largely automated feature extraction system to read BCI evaluation reports
3. A BCI database containing information from evaluation reports structured according to the ontology
4. Reasoning and machine learning algorithms to synthesise this information in response to user queries
5. An interface for computers and human users to interact with the system



## The problem



“Messy”  
evidence,  
growing  
faster than  
humans can  
keep up with

## The problem



“Messy”  
evidence,  
growing  
faster than  
humans can  
keep up with

Messy evidence gets turned  
into well organised, useful  
scientific insights

Up to date  
estimates of the  
effectiveness of  
behaviour change  
interventions

Unpacking  
reasons for  
heterogeneity in  
intervention  
effectiveness

Generating new  
testable  
hypotheses about  
behaviour change



Human Behaviour-  
Change Project

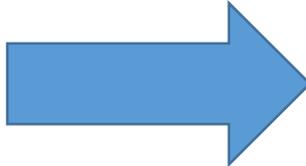


### The problem



“Messy”  
evidence,  
growing  
faster than  
humans can  
keep up with

### What the HBCP does



Artificial Intelligence  
Natural Language Processing  
Machine Learning

### Messy evidence gets turned into well organised, useful scientific insights

Up to date  
estimates of the  
effectiveness of  
behaviour change  
interventions

Unpacking  
reasons for  
heterogeneity in  
intervention  
effectiveness

Generating new  
testable  
hypotheses about  
behaviour change

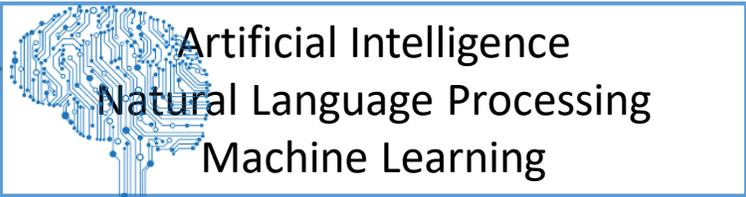


### The problem



“Messy”  
evidence,  
growing  
faster than  
humans can  
keep up with

### What the HBCP does



### Messy evidence gets turned into well organised, useful scientific insights

Up to date  
estimates of the  
effectiveness of  
behaviour change  
interventions

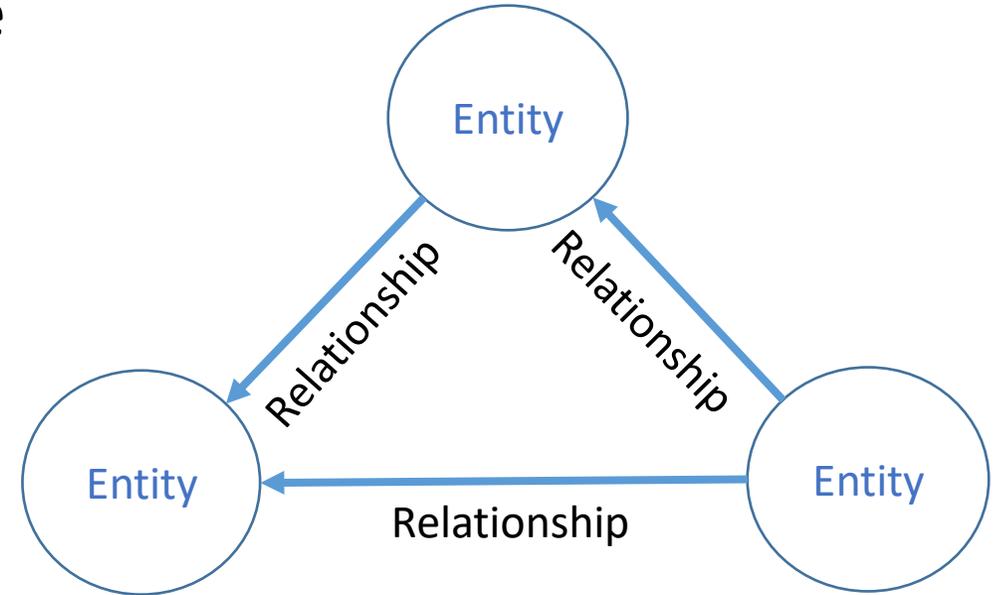
Unpacking  
reasons for  
heterogeneity in  
intervention  
effectiveness

Generating new  
testable  
hypotheses about  
behaviour change

# What is an ontology?

A system for representing knowledge in the form of:

1. A set of unique classes or categories
2. Labels and definitions for these
3. Specification of properties and relationships between them  
e.g. a taxonomy has hierarchical relationships



# What ontologies can do



Human Behaviour-  
Change Project

1. Improve clarity of thinking and reporting

# What ontologies can do

1. Improve clarity of thinking and reporting
2. Generate new ideas and testable hypotheses



Human Behaviour-  
Change Project



# What ontologies can do

1. Improve clarity of thinking and reporting
2. Generate new ideas and testable hypotheses
3. Identify information gaps and promotes lateral thinking



# What ontologies can do

1. Improve clarity of thinking and reporting
2. Generate new ideas and testable hypotheses
3. Identify information gaps and promotes lateral thinking
4. Facilitate interoperability across domains of knowledge and knowledge representations



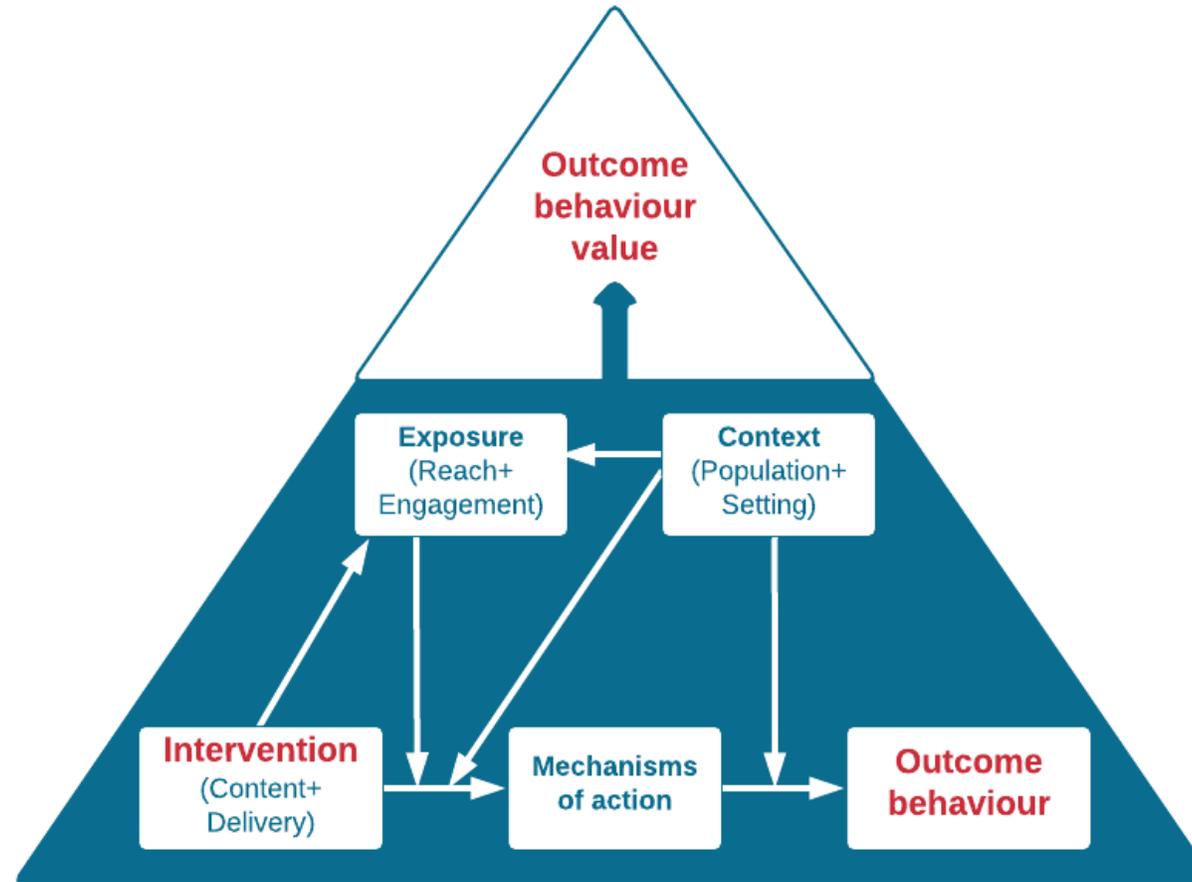
# What ontologies can do

1. Improve clarity of thinking and reporting
2. Generate new ideas and testable hypotheses
3. Identify information gaps and promotes lateral thinking
4. Facilitate interoperability across domains of knowledge and knowledge representations
5. Provide a powerful and intuitive basis for automated querying and reasoning

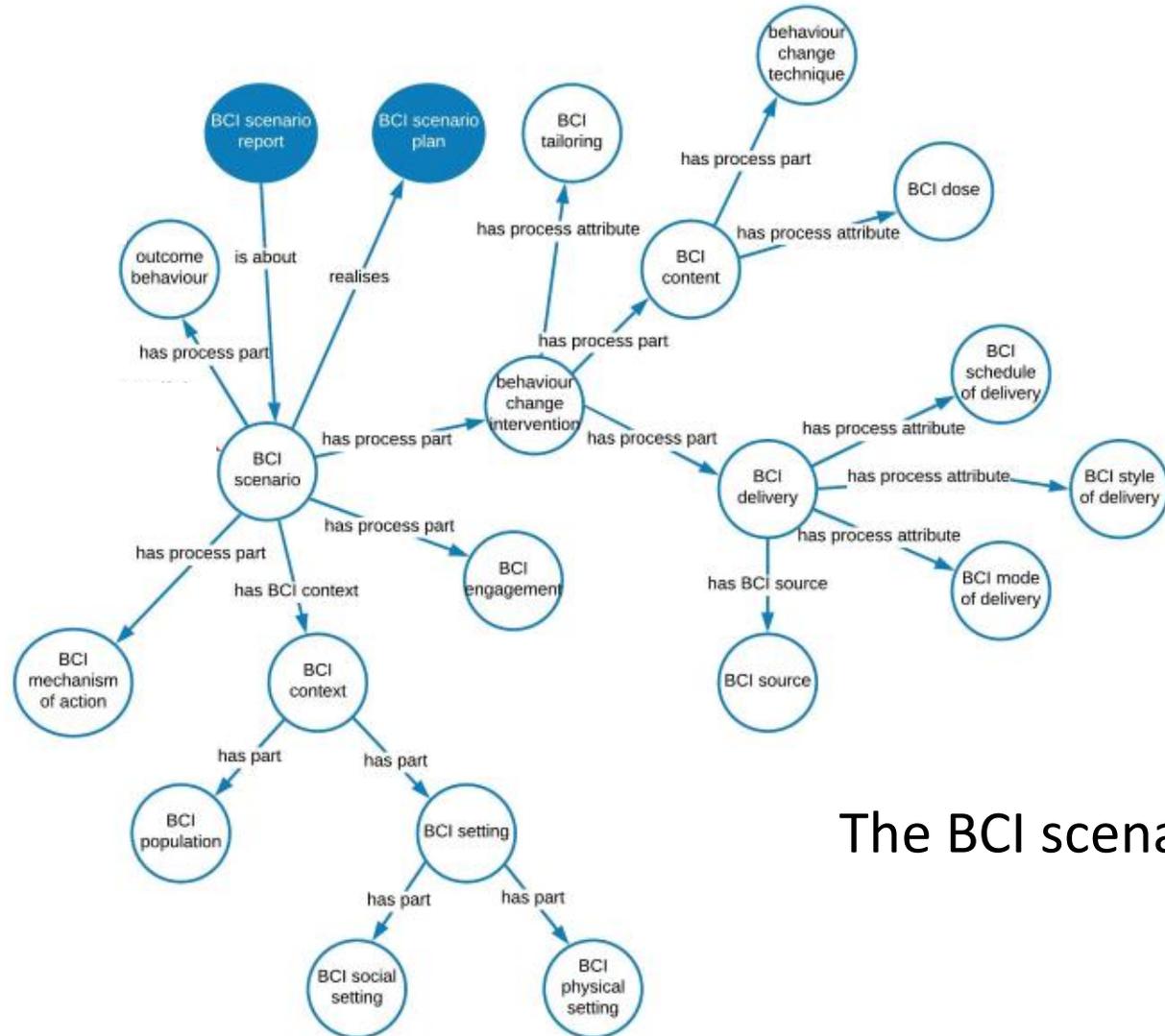
# Upper-level Behaviour Change Intervention Ontology



Human Behaviour-  
Change Project

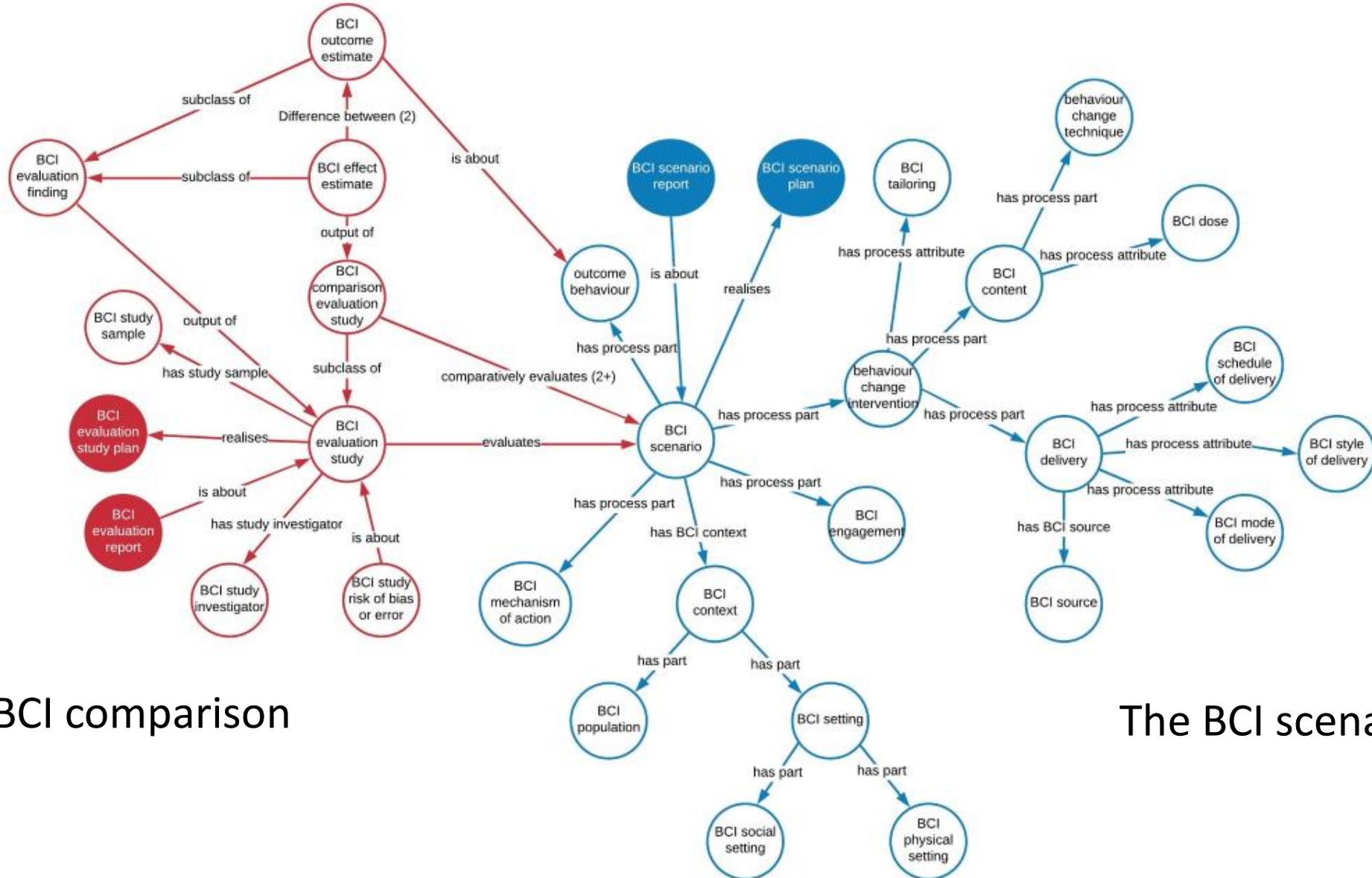


# Upper level entities in BCIO



The BCI scenario

# Upper level entities in BCIO



The BCI comparison

The BCI scenario

# Publications: Open access

Wellcome Open Research / Collections



Human-Behaviour Change Project 

[BROWSE](#) [ABOUT THIS COLLECTION](#) [HOW TO PUBLISH](#) [ABOUT WELLCOME OPEN RESEARCH](#)

[Home](#) » [Collections](#) » [Human-Behaviour Change Project](#)

FILTERS ▾ 1-5 of 5 ARTICLES

**FEATURED ARTICLE**

EDITORIAL  metrics NOT PEER REVIEWED

**The Human Behaviour-Change Project: An artificial intelligence system to answer questions about changing behaviour [version 1; peer review: not peer reviewed]**

Susan Michie, James Thomas, Pol Mac Aonghusa, Robert West, Marie Johnston, Michael P. Kelly, John Shawe-Taylor, Janna Hastings, Francesca Bonin, Alison O'Mara-Eves

**FUNDER** Wellcome Trust

**PUBLISHED** 10 Jun 2020

<https://wellcomeopenresearch.org/collections/humanbehaviourchange>



Human Behaviour-Change Project

Read Supplementary Files!

Michie *et al.* *Implementation Science* (2017) 12:121  
DOI 10.1186/s13012-017-0641-5

Implementation Science

STUDY PROTOCOL

Open Access



## The Human Behaviour-Change Project: harnessing the power of artificial intelligence and machine learning for evidence synthesis and interpretation

Susan Michie<sup>1\*</sup>, James Thomas<sup>2</sup>, Marie Johnston<sup>3</sup>, Pol Mac Aonghusa<sup>4</sup>, John Shawe-Taylor<sup>5</sup>, Michael P. Kelly<sup>6</sup>, Léa A. Deleris<sup>4</sup>, Ailbhe N. Finnerty<sup>1</sup>, Marta M. Marques<sup>1</sup>, Emma Norris<sup>1</sup>, Alison O'Mara-Eves<sup>2</sup> and Robert West<sup>7</sup>

# Ontologies making up the BCIO



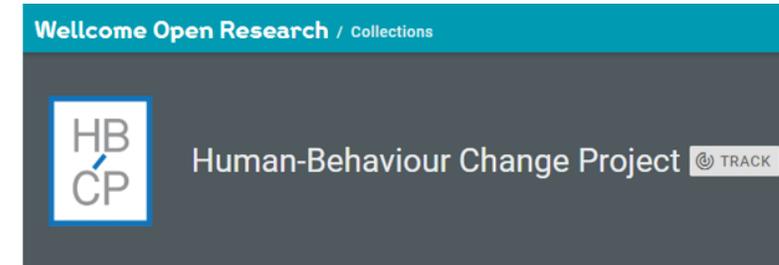
Human Behaviour-  
Change Project

## 1. Published

1. Behaviour change techniques – *BCTTv1 (Annals Beh Med, 200?)*
2. Behaviour Change Intervention Ontology Upper Level
3. Mode of delivery
4. Intervention setting
5. Ontology development methods

## 2. Under development

- a. Intervention source, schedule & style of delivery
- b. Exposure of intervention (Reach and Engagement)
- c. Mechanisms of action
- d. Target behaviour
- e. Target population



<https://wellcomeopenresearch.org/collections/humanbehaviourchange>

# Uses of the BCIO



Human Behaviour-  
Change Project

1. To identify components and their relationships in intervention reports
2. To synthesise evidence across interventions reported using different terms
3. To enable framing of questions about components
4. To facilitate algorithms performing reasoning and inference about data on the effectiveness of interventions

# Limitations of ontologies relative to natural language



Human Behaviour-  
Change Project

- More limited expressive power
- Less economy of expression
- Requires specific expertise
- Could be used to create conceptual hegemony

# Evaluating the Knowledge System



Human Behaviour-  
Change Project

# Evaluating the Knowledge System



Human Behaviour-  
Change Project

- Evaluation criteria include:
  - The adequacy of the new system in **comparison with traditional** evidence synthesis to provide information that is ..
    - more **accurate**, **extensive**, **useable** and **timely**
  - The **utility** of the system as assessed by users

# Ontologies are continually developing



Human Behaviour-  
Change Project

- The BCIO will be published in the Open Biological and Biomedical Ontologies (OBO) Foundry
- This is a group of people dedicated to build and maintain ontologies related to the life sciences
- The OBO Foundry establishes a set of principles for ontology development for creating a suite of interoperable reference ontologies in the biomedical domain
- Thus, ontologies are living things that will be extended, adapted and linked to as they are used

# The Human Behaviour-Change Project



Human Behaviour-  
Change Project



## Questions?

[www.humanbehaviourchange.org](http://www.humanbehaviourchange.org)

 @HBCProject